



Investment Services Account (ISA) Form

I hereby request you to open ISA based on the following information:

For Individual Non Individual Date: DDMMY (Please fill the form in BLOCK LETTERS only. Tick 🗹 boxes as applicable. THIS IS A MACHINE READABLE FORM AND WILL PASS THROUGH A SCANNER)

* Mandatory Fields					
#CKYC Number					
*Application Type					
*Capacity of Customer 1 Individual 2 Auth. Signatory 3 Guardian 4 OthersPlease Specify					
# I confirm that address in Bank records will be updated as per CKYC records.					
(A). DETAILS OF APPLICANT(S):					
Name/s of Applicants and Relation/Authorized Signatory (Individual/Non Individual) 1st Holder Name F R S T					
(as on Account)					
*Father's Name/					
Full Name F R S T					
2 nd Holder Name F I R S T					
*Father's Name/ Spouse Name Relation (with 1" Holder)					
3 rd Holder Name F I R S T					
*Father's Name/ Spouse Name Relation (with 1" Holder)					
*PAN					
(1 st Holder) (2 ^{std} Holder) (3 ^{std} Holder) Aadhar No. UID NO.					
(1 st Holder) (2 ^{sd} Holder) (3 rd Holder)					
Customer Id Customer Id Customer Id Customer Id Customer Id (1 st Holder) Customer Id (3 rd Holder) (3 rd Holder)					
*Religion 1 Hindu 2 Muslim 3 Christian 4 Sikh 5 Zoroastrian 6 Jain 7 Others					
*Category 1 SC 2 ST 3 OBC 4 Not Applicable 5 Others Minor 1 Yes 2 No Please Specify (please Provide Guardian Details)					
Martial Status ☐ Married ☐ Others Mother's Name ☐ F ☐ ☐ R ☐ S ☐ T ☐ T ☐ T ☐ T ☐ T ☐ T ☐ T ☐ T ☐ T					
Maiden Name (if any) F R S T					
Place of Birth Country of birth Country of birth (1" for primary Holder)					
Status: Resident NRE NRO Individual HUF / AOP On Behalf Of Minor Authorized signatory Sole Proprietorship					
(For 1"Holder) Public Limited Private Limited Mandate holder/POA Trust Decreption Body Corporate Registered Society Others					
*Mode of Holding 1 Singly 2 Jointly 3 Either or Survivor 4 As per Board Resolution 5 Others					
(No Debit Card will be issued)					
, in the feature product of the configuration of th					
(Please tick)					
(Only for Non Individual)					
*Name of Organisation:					
Customer Id *Entity Detail					
Date of Incorporation DDMMYYYY Place of Incorporation Country of Incorporation					
*Constitution Partnership Private Ltd 3 Sole Proprietorship 4 Public Ltd 5 Public Sector 6 HUF 7 Religious Trust					
© Club © Unlisted Company © Society 10 AOP/BOI 11 Statutory Body 12 LLP 13 Liquidator 14 Artificial Juridicial Person					
Public charitable Trust Private Trust/Trust Created by Will Others If "others" fill as per Bank's KYC policy					
*Annual Turnover 1 0 - 5 Lakh 2 5 - 10 Lakh 3 10 - 25 Lakh 4 25 Lakh - 1 Cr. 5 1 - 5 Cr. 6 5 - 50 Cr. 7 50 - 100 Cr. (Avg. income for corp.)					
*(B). ADDRESS DETAILS					
Permanent Address Proof of Address - YES / NO (Strike off whichever is not applicable)					
*Address Type Residential Business Registered Office					
*Address Line 1					
Address Line 2					
Address Line 3 *City					
*State *Country *Pin Code					



Current Address Proof of Address - YES / NO (Strike off whichever is not applicable)
*Address Type Residential Business Registered Office
*Address Line 1
Address Line 2
Address Line 3 *City
*State
Mailing Address Proof of Address - YES / NO (Strike off whichever is not applicable)
*Address Type Residential Business Registered Office
*Address Line 1
Address Line 2
Address Line 3
*State
Address in the Jurisdiction Details where Applicant is Resident Outside India for Tax purposes*
*Address Type Residential Business Registered Office
*Address Line 1 1
Address Line 2
Address Line 3 *City
*State
All communications will be sent on mobile no / email id.
Contact Details
Office Country Code STD Code Phone No Mobile
Fax Country Code STD Code Fax No.
Residence Country Code STD Code Phone No
Email ID (For e-statement & e-alerts)
*(C). OTHER DETAILS
*Educational Qualification 1 Undergraduate 2 Graduate 3 Post Graduate 4 Professional 5 Others
*Employment Type
*Occupation 1 Private Sector 2 Public Sector 3 Govt. Service 4 Service 5 Doctor 6 Business 7 Professional
8 Agriculturist 9 Retired 10 Housewife 11 Student 12 Forex Dealer 13 Others
If Agriculturist I Landless Labour Below 2.5 Acres of Land 2 2.5 to 5 Acres of Land Above 5 Acres of Land
*Source of Income 1 Salary 2 Business Income 3 Gift 4 Ancestral property 5 Rental Income 6 Prize Money 7 Donation 8 Others (Please specify)
Know Your Customer (KYC) Documents
*Proof of Identity Passport Voter ID Card Driving Licence PAN Card
☐ UID (Aadhar)☐ NREGA Job Card ☐ Others(Please specify)
Document Type
*Document Identification Number
*Document Issue Date D D M M Y Y Y Y ** *Document Expiry Date D D M M Y Y Y Y Y Mandatory only for Passport and Driving Licence
*Proof of Address Passport Voter ID Card Driving Licence
☐ UID (Aadhar) ☐ NREGA Job Card ☐ Others(Please specify) Document Type
*Document Identification Number
Document Issue Date D.D.M.M.Y.Y.Y.Y. *Document Expiry Date D.D.M.M.Y.Y.Y.Y.
Mandatory only for Passport and Driving Licence
Non Individual Investors involved/Providing any of the mention services
The Foreign Exchange/Money Changer Services
Type of Company 1 Partnership 2 Private Ltd 3 Proprietorship 4 Public Ltd 5 Public Sector 6 Others_ (other then Salaried) 6 Others
*Industry Type Manufacturing Construction NBFC Non Profit Organization Micro Enterprises Others
(Self employed Businessmen) In case of Business or Profession, indicate the details (including nature of goods and services dealt in)
*Gross Annual Income Below 1 Lakh 1 -5 Lakh 5 - 10 Lakh 4 10 - 25 Lakh 5 25 Lakh - 1 Crore 6 1 - 5 Crore 7 5 - 10 Crore 8 > 10 Crore
Or Networth in Re



apno	ка рапк					
Are you / is t	<mark>icable for All Holders</mark> he entity a resident in any co			Yes 1		
	indicate all countries, in which					
Sr. No.	Country of Tax Residency		Tax Identification Number*		Identification	on Type
1.						
2.						
3.						
	dentification Number is not avai					
	ntity's country of incorporation/ to	ax residence is U.S. but er	ntity is not a specified	I U.S. person, mention the	entities exemption code in the	box L
*Identity Do	sident Individual) ocument					
Passport Nu		Exp	iry Date	MMYYYY	Issue Date	MMYYYY
Place of Issu	ue L					
Visa type	Type of Visa	Temporary	Long Term	Work Stu	ident Immigrant	Dependent
Residence F	Permit Resident Pern	nit Yes	□ No \	/isa/Residence permit		YYY
(Not applicable	tor PiOs) etails For Minor (Mandatory o	nly if applicant is minor)	(I	n case of temporary visa, fill i	n declaration)	
Name of Pare	nt/Guardian			Customer ID		
I here by decla	are that the date of birth of the m	ninor, who is my RELAT	minor in all transact	ion of any description in the	s/her natural or lawful guardiar	appointed by the court
	mnify RBL Bank against all clain					
*Nominatio	n I/We wish to make a nom	1			Guar	dian's Signature
Name of the	Nominee	Relationship with the ap	plicant Date of Birt	· · ·	minee is a minor	
1.				Name ar	nd address of the Guardian	Relationship with the Guardian
2.				Name ar	nd address of the Guardian	Relationship with the Guardian
3.				Name ar	nd address of the Guardian	Relationship with
				100%		the Guardian
				100 //		
1 st Holder/	/Signatory	2 nd H	lolder/Signatory		3 rd H	Holder/Signatory
Signature of	f witness			Signature of witness _		
				Name		
Date:						
purchase, redemy the transactions of purchase of invest of debit / credit of // (Ver hereby state will not raise any deliver any units instructions / mar Account will not be Declaration: // we mentioned herein have understood sales and purchas schedule of charg Asset Manageme particulars Furnis instruction given instruction given instruction given your state of the tesponsibility. I // terms and condition of the common	orise RBL Bank to register me/us for ava- tion, change from online to offline and- tion ducted online by me/us. I / We author the the products. I / We understand and a my / our account for the redemption, swe that all the acts, deeds and things done be that all the acts, deeds and things done be that all the bank acting on such in purchased or delay of any company /e date authority is subject to the terms a e loosed till the time all my investment ho e hereby appoint RBL Bank Limited as and as updated on the website from tin the information requirements pertainin ses and all other acts done by the Bank es/fees. Any changes in the schedule of nt Company fees. I / We have read, unde hed above. I / We further agree that ar to you to transact business on my/ our erms and conditions would be as per th ve shall execute and deliver to the Bank tons of the Bank's Investment Services tual Funds and as a referral part for oth tatutory bodies / other agencies as ma gsold by RBL Bank at the website of RB required to provide information to any in stment products are subject to market ro randum(s)/Scheme Investment Docur biblity to any person for any direct, indire	vice versa. I am / we are aware ab orize RBL Bank Ltd to link all my e agree that the account mentione ittch, receipt of dividends or anys by the Bank based on such instructions. I / We agree that and tittles to make payment for any do conditions as specified on the oldings are either redeemed or tramy/our agent to purchase and re to time. I / We agree that and addition for me/us pursuant to the terms charges shall be intimated to mestod and agree the Terms and ly false/ misleading information behalf shall be in due conformation behalf shall be in due conformation from the totime such other dock account. I / We shall do an indeer products. I / We shall do an indeer products. I / We authorize the fey be deemed necessary or appro L Bank (www.rblbank.com) and we stutturions were as well as	yout the risk associated wit xisting Bank account mair d at the time of creating the young the time of creating the young to the time of the time of d understand not to hold the units surrendered and sh Bank's Website (www.rblb ansferred to physical form redeem units of mutual fur mak may at its sole discretional KYC requirements and sand conditions shall be a yus by email communicat conditions as mentioned he given by me/ us or suppry with the applicable laws to the conditions as mentioned to given by me/ us or suppry young the proposed of pendent assessment of the Bank or its agents to make priate. The term "RBL Ban ill be available to rose of ensemble of the priate.	h giving online instruction and he takinded by me / us to the Investme e online folio will be used to receivits in pursuance of the instruction me / us. I /We the second and the Bank liable for any loss or dan all keep the Bank harmless fror and the me / us. I /We the second and the me / us. I /We for any loss or dan all keep the Bank harmless fror mark.com) and shall abide by the method. Indicate the me / use of the / use of / us	ireby indemnify the Bank and / or its agent Services Account and to transfer fuve the funds in any form and manner in one given by me / us from time to time. Init holders irrevocably constitute the finage caused by reason of failure or del many claim in respect thereof. I/ We assame. I/ We agree that the Bank A/c lin in such a same. I/ We agree that the Bank A/c lin in respect thereof. If we assame. I/ We agree that the Bank A/c lin in sinderation provided by me/us on this form an or withdraw any of the facilities provided tion provided by me/us on this form an origination to the sinder that the Bank's Charge site I/ We undertake to inform the Bank ender my/our account liable for term force. Any tax indeclare that any and all pdating of records if any. I/ We will be jour to investing in the same. RBL Bank concessary and to exchange / share/ pa Bank Limited. I agree that we will be dian be produced or nelation to relation the relation to relation the relation to relation the relation to the produced or nelation.	ents from any loss arising out of nds in any form and manner for cluding but not limited to by way rst holder as my/our agent and ay of any company / entities to gree and understand that the ked to the Investment Services e, on the terms and conditions therein from time to time. I/ We a true, correct and complete. All charges/fees as per the Bank's s/ fees can be in addition to the charges fees as per the Bank's s/ fees can be in addition to the ination. I/ We declare that any any transactions entered in to tax liability will be my/our sole inity and severally bound by the ffers Investment products as a rt with any / all information with sclosed all commissions on the on thereto.
"(D). PHOT	OGRAPHS:			\neg		
Signacross Signature(s) Mentioned of		Seal wherever applica	Sign across Photo			Sign across Photo
1 st Holder/	/Signatory	_	2 nd Holder/Signat	ory	3 rd F	Holder/Signatory
					Name:	
					Date:	
DI		Place			Place:	3



2.What is your total Investible Surplus

Risk Profiler

At RBL Bank, it is essential to have a clear understanding of your background & financial situation to provide you with Investment product in the most effective way. This may include understanding your personal data such as finances & wealth, family history as well as your investment horizon and appetite for risk. In order to assist you in this direction, please fill-in this risk-profiling questionnaire. By answering these questions, your investment risk profile can be developed. This profile forms the basis for us to provide suitable portfolios which matches with your background, risk profile and investment horizon.

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Please Note : Risk Profiler is optional for Non Individuals
Q 1: Which age range do you fall in? (Tick wherever is applicable)
a Above 60
b Between 50 and 59
© Between 40 and 49
d Between 30 and 39
e Below 30
Q 2: How would you describe your present income?
a More than sufficient to cover my living expenses
b Sufficient to cover my living expenses
C Not sufficient to cover my living expenses
Q 3: Your present job or business is:
a is not dependable
b is relatively secure
c is secure
d doesn't matter as you already have enough wealth
e doesn't matter as you can easily find an equally good new Job/Career
Q 4: Normally, what percentage of your monthly household income could be available for investment or savings?
a 0%
b Between > 0% and 10%
© Between > 10% and 25%
d Between > 25% and 50%
e Over 50%
Q 5: I plan to begin withdrawing money from my investments within:
a Less than 3 years
b 3-5 years
© 6-10 years
d More than 10 years
Q 6: What is the main reason for you investing in the financial market?
a I depend on my investments to supplement my cash flow
b I depend on my investment to supplement my future income
© I want to save for the "Emergency"
d I want to protect the value of my capital
I want to grow my capital
Q 7: How good is your knowledge of finance & capital markets?
a I am an expert in the field of finance & markets
b I am a proficient in finance & markets
C I have average knowledge in finance & markets as I keep updated myself updated about the developments through newspapers, journals, media etc.
d Limited to knowing things like how the stock market or certain select scripts are moving
No knowledge
Q 8: How would a sharp drop in your investments affect you?
a Very much
b I would be disappointed
© Little bit as you are aware of market volatility
d it would not affect me
Net worth & Investment Details
1.What is your current Net worth (financial assets)



Asset Allocation Scoring

Scoring to the various questions as per the table given below:

Question & Options	Q1	Q2	Q3	Q4	Q5	Q6	Ω7	Q8
a)	10	25	10	10	40	10	40	5
b)	20	15	15	20	30	15	30	10
c)	25	10	25	25	20	25	20	20
d)	35		35	35	15	30	10	25
e)	45		45	45		40	5	

Scoring Results:	
Risk Profile	Points range
Conservative	80-150
Balanced	151-240
Aggressive	240+

I agree that though I have been profiled Conservative/Balanced/Aggressive but I would like to make investments as per the <u>Conservative/Balanced/Aggressive</u> profile. The Risk Profile, Asset allocation and scheme selection are purely done to assess my risk appetite and I agree that I will take independent decisions irrespective of the Risk Profile and the Bank will not be liable for allocating or maintaining allocation of funds as per Asset Allocation.

Signature(s):

With Company Seal Wherever applicable

Asset Class	Conservative	Balanced	Aggressive
Equities	25%	45%	65%
Fixed Income	65%	40%	15%
Money Market/Liquid	5%	5%	5%
Alternative Investments	5%	10%	15%
Total	100%	100%	100%

For Bank Use Only Certified Copies **Document Received** Investment Account No KYC VERIFICATION CARRIED OUT BY Date: Emp. Name Emp. Code Emp. Designation Emp. Branch in person. I hereby confirm the identity and address. I have met Mr. / Ms. The form has been filled and signed in my presence. The original documents have been verified by me. Joint Holders Yes No Name of Joint Holders Branch Code: Checked By: Signature Verified BY (BCSM / BOM): Emp. ID Form Received Date: Customer Segment Dispatch Date: D