

## FATCA-CRS Declaration for Entities

**Details of ultimate beneficial owner including additional FATCA & CRS information** (please include other references for completeness sake)

1. Name of the Entity:	2. Customer ID:
3. Address of tax residence (including city, state, country and pin code):	
4. Country of Incorporation:	5. City of Incorporation:
6. Entity Identification Document & Number : (eg Certificate of Incorporation, VAT No: etc)	
7. Issuing country for Identification document:	
8. *Entity Type for Reporting purpose: <b>(Please Tick Any 1)</b>	
a) Financial Institution <sup>1</sup> (If yes please fill PART A)	
b) Direct Reporting NFE (If yes please fill PART A) <small>(A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS)</small>	
c) Is the entity a publicly traded entity / related entity of a publicly traded company <sup>2</sup> (If yes please fill PART B.1 / B.2)	
d) Is the Entity an Passive Non-Financial Entity <sup>3</sup> (If yes please fill PART B.4 & PART C)	
e) Is the Entity an Active Non-Financial Entity <sup>4</sup> (All Entity other than point No a,b,c & d)	

\*Kindly seek advice from your Tax Consultant for selecting entity type.

Please tick the applicable tax resident declaration: (Any one)

Entity is a tax resident of India and not tax resident of any other country **OR**

Entity is a tax resident of the country/ies mentioned in the table below

Please indicate all the country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below:

Country	Tax Identification Number <sup>%</sup>	Identification Type (TIN or Other <sup>%</sup> , please specify)

<sup>%</sup> In case Tax Identification Number is not available, kindly provide functional equivalent.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case the Entity's Country of Incorporation/Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here (Refer Table mentioned below): \_\_\_\_\_

1 – Refer Part 1 of the Definitions

2 – Refer Part 2a of the Definitions

3 – Refer Part 3 of the Definitions

4 – Refer Part 2c of the Definitions

## FATCA-CRS Declaration for Entities

### FATCA CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any **change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.**

If you have any questions about your tax residency, please contact your tax advisor. **If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.**

#### Certification

I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct, and complete. I also confirm that I have read and understood the FATCA CRS Terms and Conditions and hereby accept the same.

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Place: \_\_\_\_\_

Signature:

\_\_\_\_\_

(i) *Exemption code for U.S. persons:*

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan