

ANNEXURE-'B'

Format for Investors Complaints Data to be displayed by Registered Merchant Bankers on their respective websites (For each category, separately as well as collectively)

Data for month ending December 2024 – For each category

Category – Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS);

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Category – Rights Issue

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Category – Qualified Institutions Placement (QIP)

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Category – Preferential Issue

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Category – SME IPO and FPO including OFS

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Category – Buyback of Securities

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Category – Delisting of Equity Shares

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Category – Substantial Acquisitions of Shares and Takeovers

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Data for every month ending – collectively

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	August 2024	NIL	NIL	NIL	NIL
2	September 2024	NIL	NIL	NIL	NIL
3	October 2024	NIL	NIL	NIL	NIL
4	November 2024	NIL	NIL	NIL	NIL
5	December 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL